

Attorney's Docket No.: 07844-682001/B002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kawell, et al.                      Art Unit : 2137  
Serial No. : 09/167,888                      Examiner : Paul E. Callahan  
Filed : October 7, 1998  
Title : DISTRIBUTING ACCESS TO A DATA ITEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REVOCATION AND NEW POWER OF ATTORNEY**

Under 37 CFR §3.73(b) ADOBE SYSTEMS INCORPORATED, a Delaware Corporation, certifies that it is the assignee of 100% of the right, title and interest in the patent application identified above by virtue of:

A chain of title from the inventors of the patent application identified above, to the current assignee as shown below. Copies of the assignments or other documents in the chain of title are attached.

1. From the inventors of the patent application identified above, to Glassbook, Inc. The assignment was recorded in the Patent and Trademark Office at Reel 9620, Frame 0214 on December 4, 1998; and

2. From Glassbook, Inc. to Adobe Systems Incorporated, recorded in the Patent and Trademark Office at Reel 012656, Frame 0637 on February 27, 2002.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned, whose title is supplied below, is empowered to act on behalf of the assignee.

The undersigned, acting on behalf of the assignee, hereby revokes all powers of attorney previously granted in the application and appoints the practitioners associated with:

**PTO Customer Number:**

**021876**

Applicant : Kawell, et al.  
Serial No. : 09/167,888  
Filed : October 7, 1998  
Page : 2 of 2

Attorney's Docket No.: 07844-682001/B002

with full power of substitution and revocation, to prosecute the application and to transact all business in the United States Patent and Trademark Office connected therewith.

All correspondence regarding the application should be sent to:

**PTO Customer Number:**

**021876**

Direct all telephone calls to Hans R. Troesch, Reg. No. 36,950, at telephone number (650) 839-5070.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Respectfully submitted,



Date: \_\_\_\_\_

PETER F. ULLMANN  
Patent Programs Manager

Customer Number 021876  
Fish & Richardson P.C.  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071  
50246031.doc



MAY 06, 2002

PTAS

Commissioner for Trademarks  
Arlington, VA 22202-3513  
www.uspto.govHALZ AND DORR LLP  
JASON A. REYES, ESQ.  
60 STATE STREET  
BOSTON, MA 02109

\*102013483A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 02/27/2002

REEL/FRAME: 012656/0637  
NUMBER OF PAGES: 5

BRIEF: CONFIRMATORY ASSIGNMENT

## ASSIGNOR:

GLASSBOOK, INC., A DELAWARE  
CORPORATION

DOC DATE: 01/06/2002

## ASSIGNEE:

ADOBE SYSTEMS, INCORPORATED  
345 PARK AVENUE  
A DELAWARE CORPORATION, MAIL  
STATION WT14  
SAN JOSE, CALIFORNIA 95110-2704SERIAL NUMBER: 09301639  
PATENT NUMBER:FILING DATE: 04/28/1999  
ISSUE DATE:SERIAL NUMBER: 09167888  
PATENT NUMBER:FILING DATE: 10/07/1998  
ISSUE DATE:

RE: 107755 117 US1

Action Date:

Action to be Taken:

Docketing

012656/063' PAGE 2

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A  
Expires 08/31/00  
OMB 6551-0027

OFFICE OF PUBLIC RECORDS

2002 FEB 27 AM 10:45

03-13-2002



102013483

RECORDATION FORM COVER SHEET  
FINANCE SECTION  
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

- ☒ New **2-27-02**
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

## Conveyance Type

- ☐ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☒ Other  Confirmatory Assignment
- U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

## Conveying Party(ies)

- ☐ Mark if additional names of conveying parties attached
- Name (line 1)  Execution Date  
Month Day Year  
01 06 02

Name (line 2)  A Delaware Corporation

## Second Party

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

## Receiving Party

☐ Mark if additional names of receiving parties attachedName (line 1)  Adobe Systems, IncorporatedName (line 2)  A Delaware CorporationAddress (line 1)  345 Park AvenueAddress (line 2)  Mail Station WT14Address (line 3)  San Jose California/USA 95110-2704

City

State/Country

Zip Code

☐ If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic  
representative is attached.  
(Designation must be a  
separate document from  
Assignment.)

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) 

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03/12/2002 00000111 080219 09301639

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

<b>FORM PTO-1619E</b> <small>Expires 08/30/99 OMB 0651-0027</small>	<b>Page 2</b>	U.S. Department of Commerce Patent and Trademark Office <b>PATENT</b>																								
<b>Correspondent Name and Address</b> <b>Area Code and Telephone Number</b> <span style="border: 1px solid black; padding: 2px;">617/526-6010</span>																										
Name <span style="border: 1px solid black; padding: 2px;">Jason A. Reyes, Esq.</span>																										
Address (line 1) <span style="border: 1px solid black; padding: 2px;">Hale and Dorr LLP</span>																										
Address (line 2) <span style="border: 1px solid black; padding: 2px;">60 State Street</span>																										
Address (line 3) <span style="border: 1px solid black; padding: 2px;"></span>																										
Address (line 4) <span style="border: 1px solid black; padding: 2px;">Boston, MA 02109</span>																										
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<b>Application Number(s) or Patent Number(s)</b> <input type="checkbox"/> Mark if additional numbers attached <small>Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).</small>																										
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<b>Fee Amount</b> Fee Amount for Properties Listed (37 CFR 3.41): \$ <span style="border: 1px solid black; padding: 2px;">40.00</span>																										
Method of Payment:      Enclosed <input type="checkbox"/> Deposit Account <input checked="" type="checkbox"/>																										
Deposit Account <small>(Enter for payment by deposit account or if additional fees can be charged to the account.)</small>																										
Deposit Account Number:      # <span style="border: 1px solid black; padding: 2px;">08-0219</span>																										
Authorization to charge additional fees:      Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>																										
<b>Statement and Signature</b>  <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.</i>																										
<table style="width: 100%;"><tr><td style="width: 40%;">Jason A. Reyes</td><td style="width: 30%; text-align: center;"><i>Jason A. Reyes</i>      Ret. 41,513</td><td style="width: 30%; text-align: center;">1-17-02</td></tr><tr><td style="text-align: center;">Name of Person Signing</td><td style="text-align: center;">Signature</td><td style="text-align: center;">Date</td></tr></table>			Jason A. Reyes	<i>Jason A. Reyes</i> Ret. 41,513	1-17-02	Name of Person Signing	Signature	Date																		
Jason A. Reyes	<i>Jason A. Reyes</i> Ret. 41,513	1-17-02																								
Name of Person Signing	Signature	Date																								

## CONFIRMATORY ASSIGNMENT

WHEREAS, Glassbook, Inc. ("Glassbook") a corporation of Delaware, having a place of business in Waltham, Massachusetts owned rights in the following patent applications owned by Glassbook (the "Patent Rights"):

United States Patent Application No. 09/167,888  
United States Patent Application No. 09/301,639  
International Patent Application No. PCT/US99/23474  
International Patent Application No. PCT/US00/11323  
European Patent Application No. 99954794.6  
European Patent Application No. 00926426.8  
Japanese Patent Application No. 575010  
Japanese Patent Application (filed October 29, 2001)

WHEREAS, Glassbook owned rights in the following trademark registration and unregistered trademarks (the "Trademark Rights"):

United States Trademark Registration No. 2,369,252  
  
Glassbook Reader  
Glassbook Plus Reader  
Glassbook Content Server  
Glassbook Library Server  
Glassbook Kiosk  
"The Way We Will Read"  
The design of the Glassbook User Interface

WHEREAS, Glassbook owned rights in the following unregistered and/or registered copyrights (the "Copyright Rights"):

The design of the Glassbook User Interface  
Written materials produced by Company and its employees  
Various brochures and marketing/product materials, including:  
    Glassbook Q&A  
    Background for Editors  
    Mini fold-up brochure  
    Copyright Protection white paper

BOSTON 1216424v2

Content Server glossy handout (the new one for Seybold, the prior version did not have the copyright)  
Glassbook Library Server glossy handout  
Plus Reader CD label  
Content Server CD label  
Content Server CD packaging  
Waterstones CD label  
Making a Glassbook ebook (Lisa's guide on the Web)  
Getting Started with the Glassbook Reader  
Glassbook Content Server Installation Guide  
Getting Started with the Glassbook Content Server  
Glassbook Content Server Batch Import/Export Module

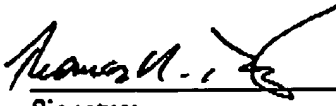
WHEREAS, Glassbook assigned the Patent Rights, the Trademark Rights, and the Copyright Rights ("Intellectual Property Rights") to Adobe Systems Incorporated, a corporation of Delaware, and Adobe Acquisition Corporation, a corporation of Delaware, having a place of business in Wilmington, Delaware, ("Adobe"), as of August 25, 2000, and now desires to confirm the assignment of the Intellectual Property Rights to Adobe;

NOW, THEREFORE, for and in consideration of good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, Glassbook hereby confirms that Glassbook assigned, transferred, and conveyed to Adobe the entire right, title, and interest Glassbook had in and to the Intellectual Property Rights, including all applications claiming priority from any of the applications and patents in the Patent Rights, including any divisional, continuation, continuation-in-part, and foreign applications, and any reissues, reexamination certificates, foreign patents, and extensions of any resulting patents, and the entire right, title, and interest Glassbook had in and to the Trademark Rights, including all applications claiming priority from any of the applications and trademarks in the Trademark Rights.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal.

Glassbook, Inc.

DATE: January 2002, 6

  
Signature

BOSTON 1216424/2



THOMAS R. DIAZ

Printed Name

THOMAS R. DIAZ

Senior Engineering Manager

Title

Senior Engineering Manager, eBook  
Development Group, Adobe Systems  
Incorporated  
(Vice President, Glassbook)

BOSTON 1216424v2



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MARCH 22, 1999

PTAS

HALE AND DORR LLP  
JASON A. REYES  
60 STATE STREET  
BOSTON, MA 02109



\*100917427A\*

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RECORDATION DATE: 12/04/1998

REEL/FRAME: 9620/0214  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KAWELL, LEONARD M., JR.

DOC DATE: 11/24/1998

ASSIGNOR:  
DIAZ, THOMAS R.

DOC DATE: 11/24/1998

ASSIGNOR:  
HEINEN, MARY ELLEN

DOC DATE: 11/24/1998

ASSIGNOR:  
HEINEN, ROGER J., JR.

DOC DATE: 11/23/1998


ASSIGNEE:  
GLASSBOOK, INC.  
179 GREAT ROAD  
SUITE 107  
ACTON, MASSACHUSETTS 01720

SERIAL NUMBER: 09167888  
PATENT NUMBER:

FILING DATE: 10/07/1998  
ISSUE DATE:

9620/0214 PAGE 2

PEARLENE FOSTER, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1596 (Rev. 6-93) OMB No. 0651-0011 (exp. 4/94) Tab settings <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	RE	12-09-1998	SHEE	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
 100917427				
To the Honorable Commissioner of Patents and Trademarks, 1000 Fourth and Independence Avenue, NW, Washington, D.C. 20530				
1. Name of conveying party(ies): <u>MA 12-4-98</u> Leonard M. Kawell, Jr. Thomas R. Diaz Mary Ellen Heinen Roger J. Heinen, Jr. Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies): Name: <u>Glassbook, Inc.</u> Internal Address: _____ _____ Street Address: <u>179 Great Road</u> <u>Suite 107</u> City: <u>Acton</u> State: <u>MA</u> ZIP: <u>01720</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>11/24/98, 11/23/98</u>				
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>09/167,888</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Jason A. Reyes</u> Internal Address: <u>Hale and Dorr LLP</u> _____ Street Address: <u>60 State Street</u> _____ City: <u>Boston</u> State: <u>MA</u> ZIP: <u>02109</u>		6. Total number of applications and patents involved: <span style="border: 1px solid black; padding: 2px;">1</span> 7. Total fee (37 CFR 3.41).....\$ <u>40</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <small>Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.</small> 8. Deposit account number: <u>08-0219</u> (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE				
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <u>Jason A. Reyes</u> Name of Person Signing <u>Jason A. Reyes</u> Signature REG. No. <u>41,518</u> <u>December 1, 1998</u> Date Total number of pages including cover sheet, attachments, and document: <span style="border: 1px solid black; padding: 2px;">6</span>				

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231

Attorney Docket No. 107755.117

## ASSIGNMENT

WHEREAS, we LEONARD M. KAWELL, JR., residing in Concord, Massachusetts, USA; THOMAS R. DIAZ, residing in Lexington, Massachusetts, USA; MARY ELLEN HEINEN residing in Concord, Massachusetts, USA; and ROGER J. HEINEN, JR., residing in Islesboro, Maine, USA; hereinafter referred to as the assignors, are the joint inventors of certain inventions for which we have made a patent application identified as U.S. Serial No. 09/167,888 filed on October 7, 1998 entitled "DISTRIBUTING ACCESS TO A DATA ITEM"; and

WHEREAS, GLASSBOOK, INC., hereinafter referred to as the assignee, of 179 Great Road, Suite 107, Acton, Massachusetts, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted as a result thereof in any and all countries:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned parties, by these presents do sell, assign and transfer unto GLASSBOOK, INC., its successors and assigns the full, exclusive and worldwide right, title and interest to the said inventions including the United States of America, and the entire right, title and interest in said application, and in, to and under any and all Letters Patent which may be granted on said inventions, or any parts thereof, or on any continuation, division, reissue, conversion, or extension thereof in the United States of America, in the territorial possessions of the United States of America and in any country foreign to the United States of America, the same to be held and enjoyed by GLASSBOOK, INC., its successors, and assigns as fully and entirely as the same would have been held by us, or any of us, had this assignment not been made.

And we hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and respective Patent Office officials in any country foreign to the United States of America to issue said Letters Patent to said GLASSBOOK, INC., as the assignee of our entire right, title and interest in, to and under the same, and we hereby further authorize GLASSBOOK, INC., to apply for Letters Patent in our names or in its own name, as it in its sole discretion sees fit, utilizing any benefits which may exist under any International Agreement, in any country foreign to the United States of America.

And we, do hereby agree for ourselves and for our heirs, executors and administrators to execute any lawful document and to testify as to any material fact or thing which GLASSBOOK, INC., its successors and assigns may deem necessary in

Attorney Docket No. 107755.117  
Ser. No. 09/167,888

order to secure unto itself, its successors and assigns the full right, title and interest in and to and the full enjoyment of said inventions or any part thereof and any Letters Patent, the same to be done without any further consideration.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

  
LEONARD M. KAWELL, JR.

DATE: November 24, 1998

On this 24 day of November 1998, before me personally came the above-named LEONARD M. KAWELL, JR. to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

  
Notary Public

My commission expires: May 20, 2005

Attorney Docket No. 107755.117  
Ser. No. 09/167,888

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

Thomas R. Diaz  
THOMAS R. DIAZ

DATE: November 24, 1998

On this 24 day of November, 1998, before me personally came the above-named THOMAS R. DIAZ to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

Justin A. McLeod  
Notary Public:

My commission expires: May 29, 2005

Attorney Docket No. 107755.117  
Ser. No. 09/167,888

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

Mary Ellen Heinen  
MARY ELLEN HEINEN  
DATE: 11/24/98

On this 24 day of November, 1998, before me personally came the above-named MARY ELLEN HEINEN to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

Justin A. McLeod  
Notary Public:

My commission expires: May 20, 2005



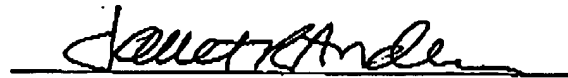
Attorney Docket No. 107755.117  
Ser. No. 09/167,888

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

  
ROGER J. HEINEN, JR.

DATE: Nov 23, 1998

On this 23<sup>rd</sup> day of November, 1998, before me personally came the above-named ROGER J. HEINEN, JR. to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

  
Notary Public:  
My commission expires:

Janet R. Anderson, Notary Public  
State of Maine  
My Commission Expires 5/2/2005